Business Risk Assurance Map

WWSL Board

**First level of defence**

- Director and Management oversight of Business and Usual (BAU) Risk Mitigation Measures covered by policies and procedures

**Second level of defence**

- 6 month review with senior business practitioners and reporting to Directors through a bi-annual Risk Register paper submitted to WWSL Board

**Third level of defence**

- Improvement Reviews / Management Systems Activities
  - Operations Improvement Reviews
  - ESD Improvement Reviews
  - IT and InfoSec Improvement Reviews
  - Environment Improvement Reviews
  - Asset Improvement Reviews

- External Assurance Audit of Policies, Procedures and Data (Lloyds, BSI, UKAS, EA etc)
- Risk Based internal audit programme agreed annually by Audit and Risk Committee
- Specialist / Technical Auditors as required
- Regulatory Assurance (MMcD)
- Pelican Assurance (T&T and MMcD) reported to Pelican Board exceptions to WWSL
- Financial Assurance (JY)
- SEMO Assurance (Aquatech)

- Risk Based internal audit programme
- agreed annually by Audit and Risk Committee
- Director and Management oversight of Business and Usual (BAU) Risk Mitigation Measures covered by policies and procedures

**Risk Management Group**

- Business Continuity Policy and implementation
- Business Continuity Function (addressing the specific threats identified in the BC Policy)

**Risk Management Group**

- Strategic Risk Register (Identifying all the risks and threats to the business)

- IT and InfoSec Risks
- Environmental and Sustainability Risks
- Operational Services & Resilience Risks
- Legal & Regulatory Risks
- Financial Risks
- Health Safety and Security Risks

- Delegated Authority Register

- Security Management Group

- Project Assurance
  - Investment Solutions Group
  - Treatment Solutions Team
  - Networks Solutions Team

- Pelican Assurance (T&T and MMcD) reported to Pelican Board exceptions to WWSL

- Financial Assurance (EY)
- SEMO Assurance (Aquatech)

- Second level of defence

- Second level of defence

- Second level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence

- First level of defence